

Hawking Temperature of a Schwarzschild Black Hole

DANNY LAGHI

JUNE 28, 2018, UNIVERSITY OF PISA



1ST-YEAR PHD INFORMAL SEMINAR

What we will need

Before embarking on the investigation of the simplest possible kind of black hole, we need some simple notions of:

- general relativity;
- quantum mechanics;
- statistical mechanics.

The Jebsen-Birkhoff theorem

Two important results of Newtonian gravity:

- At any point outside a spherical mass distribution, the grav. field Φ depends only on the mass interior to that point.
- Even if the mass interior is moving spherically symmetrically, the grav. field Φ outside is constant in time.

A corresponding result in GR is the **Jebsen-Birkhoff theorem** (1921,1923):

- The *only* vacuum, spherically symmetric gravitational field is static.

⇒ The *vacuum* space-time external to a spherical mass of radius R is necessarily the one described by the **Schwarzschild metric** (1916).

The Schwarzschild metric

The Schwarzschild (or Schwarzschild-Droste) metric is:

$$ds^2 = -\left(1 - \frac{2GM}{c^2 r}\right) c^2 dt^2 + \frac{1}{\left(1 - \frac{2GM}{c^2 r}\right)} dr^2 + r^2 d\Omega^2 \quad (r > R)$$

where

$$d\Omega^2 \equiv d\theta^2 + \sin^2\theta d\phi^2$$

- The coordinates t , r , θ , and ϕ are the coordinates used by an observer at rest a great ($\simeq \infty$) distance from the origin.
- For convenience, let us define the quantity

$$r_S \equiv \frac{2GM}{c^2}$$

as the **Schwarzschild radius**.

Real or apparent singularity?

From now on we will adopt units in which $c = 1$. ($\Rightarrow r_S \equiv 2GM$)

- Look at the Schwarzschild metric ($v^2 \equiv r_S/r$):

$$ds^2 = \underbrace{-(1 - v^2)}_{g_{tt}} dt^2 + \underbrace{\frac{1}{(1 - v^2)}}_{g_{rr}} dr^2 + r^2 d\Omega^2$$

- It seems that something worrying happens at $r = r_S$:
 - g_{tt} vanishes and g_{rr} **blows up**.
 - And vice versa for $g^{tt} = 1/g_{tt}$ and $g^{rr} = 1/g_{rr}$.

Is the Schwarzschild radius a physical singularity or not?

- There are many ways to see that. For example, let us make a change of coordinates (we are always free to do so).

Painlevé-Gullstrand coordinates (1921)

$$ds^2 = -(1-v^2)dt^2 + \frac{1}{(1-v^2)}dr^2 + r^2d\Omega^2 \quad v^2 \equiv \frac{r_S}{r}$$

- Let $dt = dT - h(r)dr$. Then the metric reads

$$ds^2 = -(1-v^2)dT^2 + 2h(1-v^2)dTdr + \left[-(1-v^2)h^2 + \frac{1}{1-v^2} \right] dr^2 + r^2d\Omega^2$$

- Choose $h(r)$ s.t. the coefficient of dr^2 equals 1:

$$\left[-(1-v^2)h^2 + \frac{1}{1-v^2} \right] = 1 \quad \Rightarrow \quad h = \frac{v}{1-v^2}$$

- With this choice of $h(r)$, the metric becomes

$$ds^2 = -dT^2 + \left(dr + \sqrt{\frac{r_S}{r}} dT \right)^2 + r^2d\Omega^2$$

\Rightarrow In the (T, r, θ, ϕ) coordinates, nothing blows up at $r=r_S$.

\Rightarrow In contrast, $r=0$ is a real singularity (cloaked by the event horizon).

A way to define a black hole

Consider a photon ($ds = 0$) moving along a certain radial direction toward us (fixed θ, ϕ). The rate at which the radial coordinate of the photon changes (i.e. the *apparent* speed of light) is:

$$ds^2 = 0 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \left(1 - \frac{r_S}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$
$$\Rightarrow v_r \equiv \frac{dr}{dt} = \left(1 - \frac{r_S}{r}\right)$$

Note that as $r \rightarrow r_S$, $v_r \rightarrow 0$ (light is frozen in time).

A photon at $r = r_S$ will never reach us.

The spherical surface at $r = r_S$ is called **event horizon**.

A star (say, a massive one: $M \gtrsim 10M_\odot$) which collapses down within its Schwarzschild radius is called a **black hole**.

Is a black hole really “black”?

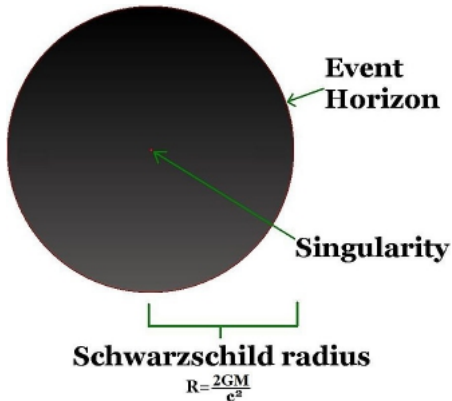


Image from *Wikipedia*

To answer this question we will make use of a nice correspondence between quantum mechanics and statistical mechanics...

Wisdom from Quantum Mechanics

In quantum mechanics, given a Hamiltonian \hat{H} and a quantum state which we want to evolve after time T , what we need is:

- Initial state $|I\rangle$
- Final state $|F\rangle$
- Evolution operator $e^{-\frac{i\hat{H}T}{\hbar}}$

⇒ **Probability amplitude:** $\mathcal{Z} = \langle F | e^{-\frac{i\hat{H}T}{\hbar}} | I \rangle$

Wisdom from Statistical Mechanics

Consider a system with a Hamiltonian s.t.

$$\hat{H} |\lambda\rangle = E_\lambda |\lambda\rangle$$

The probability of the system being in some particular state $|\lambda\rangle$ with energy E_λ at a temperature T is given by the Gibbs distribution ($\beta \equiv 1/k_B T$)

$$p_\lambda = \frac{e^{-\beta E_\lambda}}{Z}$$

where Z is the **partition function** of the system defined as a sum over states:

$$Z \equiv \sum_{\lambda} e^{-\beta E_\lambda} = \sum_{\lambda} \langle \lambda | e^{-\beta \hat{H}} | \lambda \rangle = \text{Tr} [e^{-\beta \hat{H}}]$$

Any resemblance?

Look at these two formulas:

$$\mathcal{Z} = \langle F | e^{-\frac{i\hat{H}T}{\hbar}} | I \rangle$$
$$Z = \sum_{\lambda} \langle \lambda | e^{-\beta\hat{H}} | \lambda \rangle$$

Let us naively ask: **is there any correspondence** between \mathcal{Z} and Z ?

To have $\mathcal{Z} \leftrightarrow Z$, we may perform the following computational trick:

- $T \rightarrow -i\beta\hbar$ (make time imaginary)
- $|I\rangle = |F\rangle = |\lambda\rangle$ (force every state to go back to itself)
- sum over $|\lambda\rangle$ (do it for any state)

\Rightarrow Time becomes somehow cyclic.

$\Rightarrow \beta$ is equal to this recurrence period.

Back to the Schwarzschild spacetime

$$ds^2 = -\left(\frac{r-r_S}{r}\right)dt^2 + \left(\frac{r}{r-r_S}\right)dr^2 + r^2d\Omega^2$$

- Consider a particle (or a photon) propagating near outside the horizon ($r \simeq r_S + \epsilon$):

$$ds^2 \simeq -\left(\frac{r-r_S}{r_S}\right)dt^2 + \left(\frac{r_S}{r-r_S}\right)dr^2 + r_S^2d\Omega^2$$

Now we will make **three simple changes of variables**.

- (1) Change the “radial” coordinate from r to ρ :

$$\begin{aligned} \rho^2 &= 4r_S(r-r_S) & \rho d\rho &= 2r_S dr \\ & & (r-r_S) d\rho^2 &= r_S dr^2 \end{aligned}$$

- The spacetime near the horizon becomes:

$$ds^2 = -\frac{\rho^2}{4r_S^2} dt^2 + d\rho^2 + r_S^2 d\Omega^2$$

Move to Euclidean space

$$ds^2 = -\frac{\rho^2}{4r_S^2} dt^2 + d\rho^2 + r_S^2 d\Omega^2$$

- (2) Switch to “imaginary” time:

$$t = -it_E \quad dt = -idt_E$$

$$\Rightarrow ds^2 = \frac{\rho^2}{4r_S^2} dt_E^2 + d\rho^2 + r_S^2 d\Omega^2$$

- (3) Rescale the variable t_E :

$$t_E = 2r_S\psi \quad dt_E = 2r_S d\psi$$

Thus, the metric near the horizon reads:

$$ds^2 = \underbrace{d\rho^2 + \rho^2 d\psi^2}_{\text{2d plane metric}} + r_S^2 d\Omega^2$$

2d plane metric:
radius $\rho \in [0, \infty]$
angle $\psi \in [0, 2\pi]$

Hawking Temperature

$$ds^2 = d\rho^2 + \rho^2 d\psi^2 + r_S^2 d\Omega^2$$

⇒ We got a cyclic time t_E with a recurrence period of 2π :

$$t = -it_E = -i2r_S\psi \quad \longrightarrow \quad -i2r_S(2\pi) = -i4\pi r_S$$

- In other words, we got a periodicity:

$$t \rightarrow -i(4\pi r_S)$$

- But at the very beginning the correspondence $\mathcal{Z} \leftrightarrow Z$ gave us

$$T \rightarrow -i\beta\hbar$$

- We are tempted to identify $\beta\hbar = 4\pi r_S$:

$$\Rightarrow \frac{k_B T_H}{\hbar} = \frac{1}{4\pi r_S} = \frac{1}{4\pi(2GM)} = \frac{1}{8\pi GM}$$

Restoring the proper units of c :

$$T_H = \frac{\hbar c^3}{8\pi k_B GM}$$

A simple heuristic physical interpretation

BLACK HOLE

Virtual photon pairs pop out of nowhere and then annihilate each other...

... but if one photon passes over the event horizon it gets trapped, and its partner is emitted as Hawking radiation

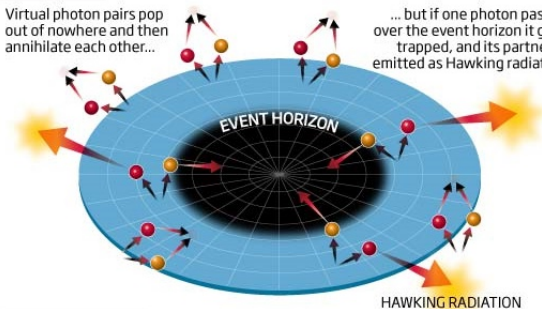


Image from © NewScientist

Black-hole evaporation

$$r_S = \frac{2GM}{c^2} \qquad T_H = \frac{\hbar c^3}{8\pi k_B GM}$$

- The black holes of classical general relativity last forever.
- However, QFT (in curved spacetime) calculations show that the outgoing particles have a thermal **black-body** spectrum.
- Since a black hole radiates energy by Hawking radiation, energy conservation implies that it will lose mass.
As the black hole becomes **lighter** it becomes **hotter**.
- Let us ignore all other processes other than the Hawking radiation:

$$\underbrace{\frac{dM}{dt} c^2}_{\frac{[E]}{[T]}} = - \underbrace{4\pi r_S^2}_{[L]^2} \underbrace{\sigma T_H^4}_{\frac{[E]}{[L]^2 [T]}}$$

$$\Rightarrow t_{ev} \propto M^3$$

⇒ **Small** black holes evaporate much **faster** than very massive ones.

References

(A 1942 - Ω 2018)



Image from NASA

- Shapiro S.L., Teukolsky S.A., *Black Holes, White Dwarfs, and Neutron Stars*, Wiley (1983)
- Carroll B.W., Ostlie D.A., *An Introduction to Modern Astrophysics*, Addison-Wesley (1996)
- Zee A., *Quantum Field Theory in a Nutshell*, Princeton University Press (2010)
- Hawking S.W., "Black Hole Explosions?", *Nature* **248**, 30 (1974)
- Hawking S.W., "Particle Creation by Black Holes", *Comm. Math. Phys.* **43**, 199 (1975)
- Hawking S.W., "The Quantum Mechanics of Black Holes", *Scientific American*, vol. 236, Jan. 1977, p. 34-40
- Dabholkar A., Nampuri S., "Lectures on Quantum Black Holes", arXiv:1208.4814